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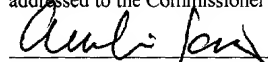
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 05, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL580401627US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: CAL1P003

First Named Inventor: Jerphagnon

  
Aurelia Sanchez



**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**OLIVIER L. JERPHAGNON, DANIEL J. BLUMENTHAL and CHANDRASEKHAR PUSARLA**

For: **WAVELENGTH SELECTIVE OPTICAL SWITCH WITH ALIGNED INPUT AND OUTPUT SUBSTRATES**

Assigned to:

Application Elements:

- ☒ **21** Pages of Specification, Claims and Abstract
- ☒ **4** Sheets of informal Drawings
- ☒ **3** Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	63	MINUS	20	= 43	x 18 =	774.00
INDEP.	4	MINUS	3	= 1	x 80 =	80.00
[ ] First presentation of multiple dependent claim					\$270 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710 =	710.00
TOTAL						1,564.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ Check No. 11780 in the amount of \$1,604.00 is enclosed. (\$1,564.00 for filing fee and \$40.00 for Assignment Recordation fee.)

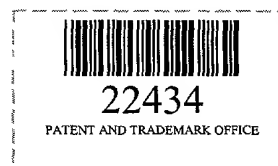
☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. CAL1P003).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. CAL1P003).

☒ Please send correspondence to the following address:

**Customer Number 022434**  
**BEYER WEAVER & THOMAS, LLP**  
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Berkeley, CA 94704-0778  
Telephone (650) 961-8300  
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Date: July 5, 2001

*C. Steve D Beyer*, REG. No. 32,947  
for Steve D Beyer  
Registration No. 31,234

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Jerphagnon et al.

Attorney Docket No.: CAL1P003

Application No.: Unassigned

Examiner: Unassigned

Filed: Herewith

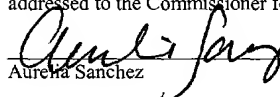
Group: Unassigned

Title: WAVELENGTH SELECTIVE OPTICAL  
SWITCH WITH ALIGNED INPUT AND  
OUTPUT SUBSTRATES

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**CERTIFICATE OF EXPRESS MAILING**

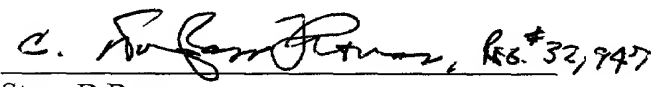
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Aurelia Sanchez**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date:

7/5/01  
for Steve D Beyer  
Registration No. 31,234

T05020-6688850